

## **Research on automatic translation at the Harvard Computation Laboratory<sup>1)</sup>**

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An automatic Russian-English dictionary of electronics and mathematics, comprising over 10,000 distinct Russian words represented by 22,000 stem entries recorded on magnetic tape, is now being used for the automatic processing of Russian scientific and technical texts. The mode of operation of the dictionary is described, and samples of the dictionary output products are shown.

Immediate practical applications of the dictionary are suggested, and evaluated in the light of preliminary experimental results. The dictionary output products are potentially useful to students, to professional translators, and to technical editors, as aids in their work.

The automatic dictionary is primarily a tool for research on the syntactic algorithms necessary for effecting accurate and smooth automatic translation. Coded grammatical information entered in the dictionary provides, in explicit form, some of the lexical data required for the automatic execution of algorithms. The analysis of Russian syntax is aided by the output products of the dictionary, and by semi-automatic procedures for deriving, applying and evaluating syntactic algorithms.

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PRITJAGIVAM -YJ	803-00F110	01805038101	ATTRACTED	1	DRAIN	2	PULLED UP	3
PRITJAGIVAJT -B	V01-00 800	018-05038012	ATTRACT	1	DRAB	2	PULL UP	01
PRITJAGIVAM -	V01-00	018-05038010	ATTRACTED	1	DRAB	2	PULLED UP	04
PRITJAGIVAN -	AG1-00	01805038010	ATTRACTED	1	DRAB	2	PULLED UP	03
PRITJAGIVAM -YJ	AG1-00F110	01805038101	ATTRACTED	1	DRAB	2	PULLED UP	03
PRITJAGIVAJU SHEN-IJ	AGM-00F910	01805038101	ATTRACTING	1	DRABING	2	PULLING UP	03
PRITJAZHENI -Z	M10-00F800	018-04109010	ADMISSION	1	ADMISSION	2	GRAVITY	00
PRITKOD-ISH	V0M-00 9RU	00M-03933009	COME	1	ARRIVE	2		
PRIXODI -Y	V0M-00F800	00M-03933009	TO COME	1	TO ARRIVE	2		01K0885
PRIXODIVSH-T U	AGM-00F910	00M03933011	COMING	1	ARRIVING	2		00K086
PRIXODIL -	V0M-00	00M-03933008	CAHE	1	ARRIVED	2		02
	ENTRY 018082	VN00000000	ADMISSION	1	ADMISSION	2	GRAVITY	03

Fig. 1. Entries in the Harvard Automatic Dictionary

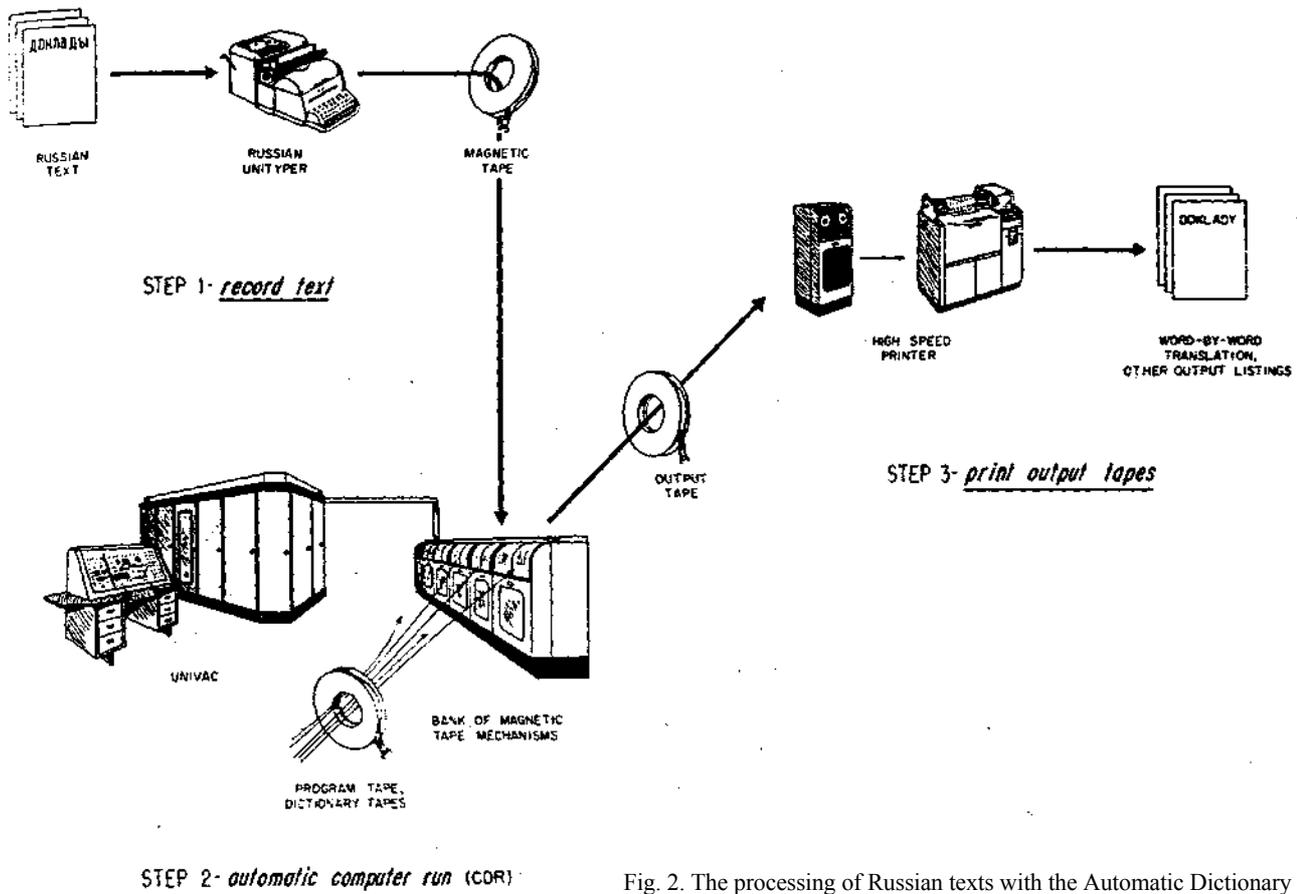


Fig. 2. The processing of Russian texts with the Automatic Dictionary

Research on automatic translation at the Harvard Computation Laboratory initially centered around the compilation and experimental operation of a fullscale Russian-English automatic dictionary. An automatic dictionary is a machine system capable of producing word-by-word translations of texts, i.e., translations in which one or more words in an output language are simply substituted for each word in the source language; it is a necessary part of any automatic translating system. The dictionary will soon be a component of a more complex machine system serving as a tool for research on Russian and English syntax. At present, it produces rough English translations of Russian technical texts and a number of by-products valuable for research. This paper is concerned with the operation of the Harvard Automatic Dictionary, its output products and their immediate applications, and with the instruments being developed for more advanced research in automatic translation.

The semi-automatic compilation of the Russian-English file of the Harvard Automatic Dictionary has been described in an earlier paper [1]. A printed section of the dictionary file, illustrating the arrangement of information into Russian-English entries, is shown in fig. 1. Each entry contains a Russian stem (transliterated and marked  $\alpha$ ), a set of English meanings ( $\beta$ ), and coded grammatical data ( $\gamma$ ). There are twenty-two thousand stem entries in the present dictionary file, representing more than ten thousand distinct Russian words or about a hundred thousand inflected forms of these words. The file is stored on six reels of Univac magnetic tape. The vocabulary is primarily scientific; it contains a large number of technical terms drawn from the areas of mathematics and electronics. The scientific vocabulary is supplemented by a basic vocabulary of high-frequency words selected from a general dictionary.

### 1. Processing Russian texts

Three steps are required to process a text with the automatic dictionary (fig. 2). A Russian text, up to approximately three thousand words in length, is recorded on magnetic tape (step 1) by means of a special Unityper capable of recording Russian as well as English [2]. In the upper-case, the keyboard resembles that of a standard English typewriter and in the lower-case that of a standard Russian typewriter. The typist must be familiar with the Cyrillic alphabet, but need not have a deeper knowledge of Russian; the Russian is simply copied in a normal running format. A sample section of the proof copy produced on the Russian Unityper is shown in fig. 3. The typist has written ad-lib English comments to describe equations and illustrations, and has bracketed these with dollar signs. The wedge symbol "<" represents a space. Punctuation marks are bracketed with asterisks for machine identification.

In laying out the Unityper keyboard and in designing the computer program to which it furnishes input, special efforts were made to allow the typist as much freedom as possible. Special rules have therefore been kept to a minimum; the typist may use any number of spaces to separate words, English comments may be of any length, etc. This freedom is important, since it allows typists to proceed at nearly normal typing speeds without special training, and since it minimizes the human effort at present required for input. The Unityper will be replaced by an automatic print-reading machine, if and when a sufficiently flexible device of this type becomes available. Meanwhile, the Russian Unityper enables the encoding of texts with both reasonable cost and accuracy.

The reel of tape containing the typed text and reels containing the Russian-English dictionary are mounted on tape





LIMITER	MAXIMUM	ACCURACY PRECISION PUNCTUALITY	MEASUREMENT METERING SOUNDING DIMENSION	
TO REACH TO ATTAIN TO ACHIEVE	WHEN HOW	AMPLITUDE CREST VALUE	SIGNAL WAVE CALL TONE SIGN	00A-1688
SIGNAL	NOISE	NOISE	AFTER AFTERWARDS LATER LATER SINCE	
SIGNAL-A +++++(HOMOGRAPH OF PREV)	WITH AND FROM SINCE IN	SMUN-OM +++++(HOMOGRAPH OF PREV)		
PRELIMINARY	AMPLIFICATION MAGNIFICATION GAIN REPEATING REINFORCEMENT	EXCEEDED OVERDREW*	TO EXCEED TO OVERDRAW	
THRESHOLD RAPIDS	LIMITATION RESTRICTION	PROPER CORRECT RIGHT	ACTION OPERATION EFFECT ACT	PREVYSH-ET 00A-1695 +++++(HOMOGRAPH OF PREV)
CIRCUIT NETWORK DIAGRAM SCHEME	NOT	SELECTION	HER (IT) HERS (ITS)	00A-1705
PARAMETER	IN AT INTO FOR ON	LIMIT BOUND END		
ABSENCE	NONLINEAR	AND AND THEN AND SO TOO EITHER	CONSTANCY	00A-1715

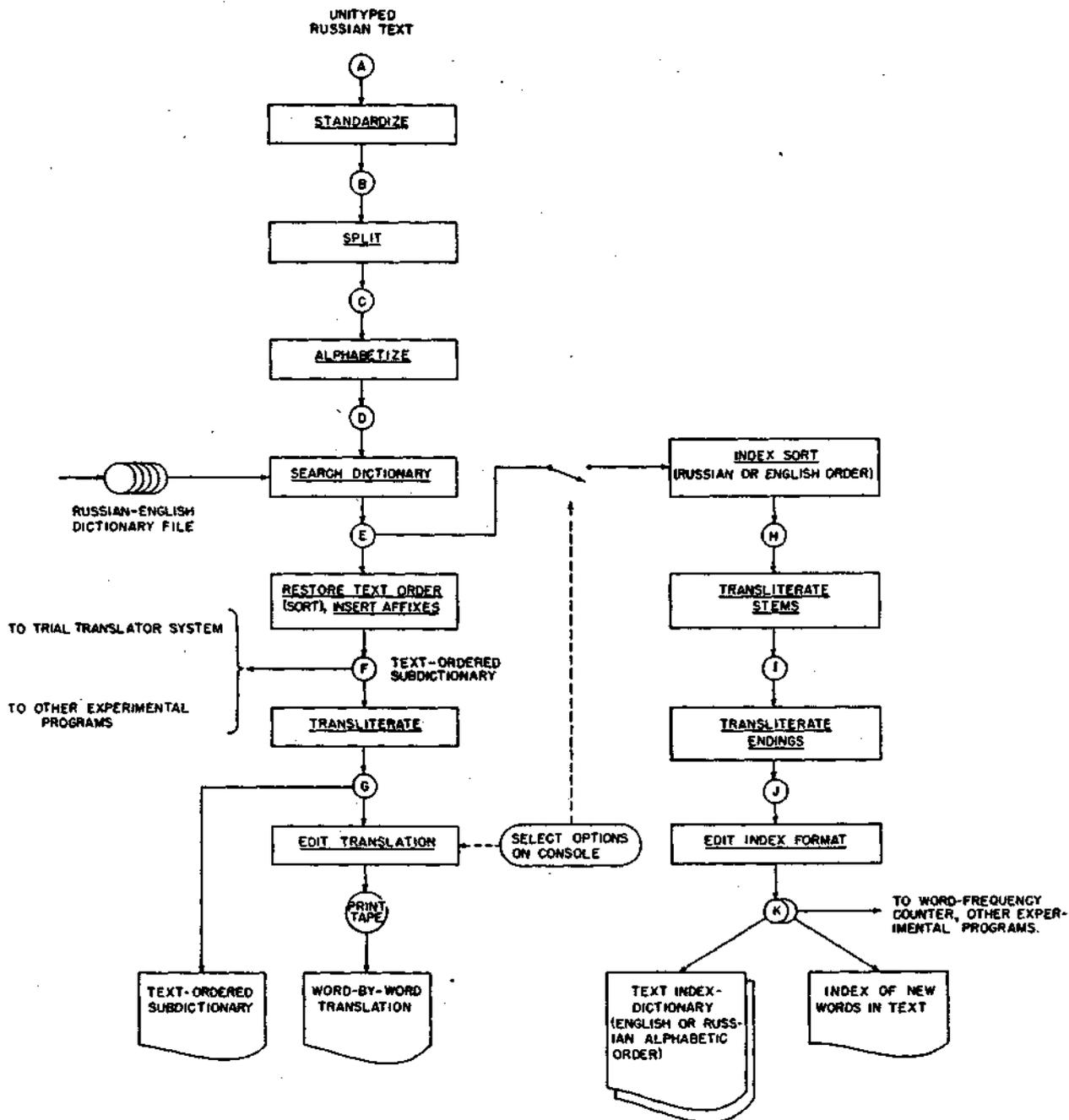
Fig. 5. Word-by-word translation

FOTOELEKTRICHESK-TO PHOTOELECTRIC	METOD- METHOD WAY	REGISTRATSI-I REGISTRATSI-I ###	MOMENT-OV MOMENT INSTANT FEATURE	EKKSPOZITSI-I EXPOSURE EXPOSITION	
	EMTOT- THIS	METOD- METHOD WAY	MOZH-ET ARE ABLE TO CAN	BY-T, FORMER	006-0256
BY-T, TO BE TO EXIST TO HAPPEN	ISPOL'ZOVAN- UTILIZED TOOK ADVANTAGE (OF)	DL-JA FOR	LJUB-OJ ANY ANYONE EITHER (OF) TWO	ASTROFOTOKAMER-Y ASTROFOTOKAMER-Y ###	
BY-T, +++++(HOMOGRAPH OF PREV)					
	ESL-T IF	YREBU-ETSJA REQUIRE DEMAND REQUEST CLAIM	TOCHN-OE PRECISE ACCURATE EXACT PUNCTUAL	ZNANI-F KNOWLEDGE ERUDITION	006-0265
MOMENT-OV MOMENT INSTANT FEATURE	NACHAL-A BEGINNING OFFSET START PRINCIPLE,	-I AND THEN AND SO TWO EITHER	KONTS-A END TIP TERMINAL LEAD JUMPER	EKKSPOZITSI-I EXPOSURE EXPOSITION	

Fig. 6. Interlineated Russian-English word-by-word translation

VS 1 IN ALL 2 SUM TOTAL 3 ONLY 4 5 00C-0530    00C-0533    00C-0530    00C-0532 E	101.00	00000000000	AO
VSEA 1 2 3 4 5 00C-0121	111111	00000000001	X-LIT.    X-LIT.    X-LIT.    X-LIT.
VTEDAN 1 SELECTION 2 EXTRACT 3 4 5 00C-0523 E	104.31	00000000001	NO11FO    AO
VYSDA 1 HIGH 2 TALL 3 4 5 00C-0535    00C-0234    00C-0434 00 E	AOB.00 F	00000000003 AOB.00 F	AOB.1 F
VYADD 1 OUTPUT 2 APPNDACH 3 EX11 4 5 00C-0177 E	101.00	00000000001	NO11FO    AOB.1
VYRESLITEL. M 1 2 3 4 5 00C-0000 00	111111	00000000001	X-LIT.    X-LIT.    X-LIT.    X-LIT.
6D 1 WMPAE 2 3 4 5 00C-0250 E	101.00	00000000001	AO
WISTERE2.13 1 WYSTERE2.15 2 3 4 5 00C-0202    00C-0405 A	101.00	00000000002	NO11FO    AO
GLRY 1 HEAD 2 CHIEF 3 CHAPTER 4 5 00L-0020 A	104.00	00000000001	NO12FO    AO
GLAYM 1 PRINCIPAL 2 HEAD 3 MAIN 4 CHIEF 5 00L-0505 TP	102.00	00000000001	AC0440    AOB.1

Fig. 7. Alphabetic index-dictionary of words in a particular text



Kg. 8. The operating program of the Harvard Automatic Dictionary

a) An information-store of well over ten million bits is required to hold a sizable Russian-English dictionary. The thousand-word operating memory of the Univac is far too small to hold a significant portion of such a dictionary; the necessary storage can be provided only by several reels of magnetic tape. Dictionary search must therefore involve the mechanical motion of reels of tape.

b) The word-sequence in a Russian text defines a poor ordering for purposes of dictionary search. It is not practical to search for individual Russian words in a magnetic tape dictionary, in the sequence in which they occur in a running text. This procedure would require repositioning a dictionary tape for each word, with a delay of perhaps several minutes per word.

c) If a magnetic tape dictionary is to be used, it is necessary to sort the text prior to dictionary search. The entries in the dictionary file are arranged in normal Russian alphabetic order. When a text is processed, the words are first numbered in textual order, and then sorted into dictionary order. Dictionary search consists of a comparison of the alphabetic text list with the alphabetic dictionary file. Since the lists are arranged in the same order, the search requires only a single pass through the dictionary. The entries containing the English correspondents must finally be re-sorted, to restore the original textual order defined by the word numbers.

The limited size of the Univac memory also requires the operating program to be subdivided into routines that fit in the high-speed memory. The operating program is block-

IZMENENIEM	00A-0427
DETAL'NOSTI	00A-0428
REGISTRATSII	00A-0429
SIGNALA	00A-0430
RASSMATRIVAETSJA	00A-0431
NIZHE	00A-0432
BOLEE	00A-0433
PODROBNO	00A-0434
*.	00A-0435
PREDMETOM	00A-0436
NASTOJASHCHEGO	00A-0437
SOBSHCHEENIJA	00A-0438
JAVLJAETSJA	00A-0439
ANALIZ	00A-0440
VOZMOZHNOSTEJ	00A-0441
ULUCHSHENIJA	00A-0442
OTNOSHENIJA	00A-0443
SIGNAL	00A-0444
*/	00A-0445
SHUM	00A-0446
PUTEM	00A-0447
USREDNENIJA	00A-0448
PERIODCHESKOGO	00A-0449
SIGNALA	00A-0450
I	00A-0451
RASSMOTRENIE	00A-0452
KONKRETHOJ	00A-0453
SXEMY	00A-0454
PRIBORA	00A-0455
*.	00A-0456
V	00A-0457
KOTOROM	00A-0458
USREDNENIE	00A-0459
SIGNALA	00A-0460
OSUSHCHESTVLJAETSJA	00A-0461
SREDSTVAMI	00A-0462
IMPUL'SNOJ	00A-0463
RADIOTEHNIKI	00A-0464
*.	00A-0465
\$PART 2	00A-0466
METODIKA	00A-0467
I	00A-0468
EE	00A-0469
VOZMOZHNOSTI	00A-0470
\$TEXTI	00A-0471
USREDNENIE	00A-0472
SIGNALA	00A-0473
OSUSHCHESTVLJAETSJA	00A-0474

Fig. 9. Standardized and numbered text words (Tape B)

IZMENENI	600	00A-0427	010
DETAL,NOST	900	00A-0428	011
REGISTRATSI	900	00A-0429	011
SIGNAL	100	00A-0430	007
RASSMATRIVA	6K01Y	00A-0431	103
NIZH	600	00A-0432	004
BOL	660	00A-0433	005
PODROBN	600	00A-0434	008
*.		00A-0435	0000
PREDMET	600	00A-0436	009
NASTOJASHCH	64G	00A-0437	010
SOBSHCHENI	Y00	00A-0438	009
JAVLJA	6K01Y	00A-0439	008
ANALIZ		00A-0440	006
VOZMOZHNOST	610	00A-0441	012
ULUCHSHENI	Y00	00A-0442	009
OTNOSHENI	Y00	00A-0443	009
SIGNAL		00A-0444	006
*/		00A-0445	0000
SHUM		00A-0446	003
PUT	600	00A-0447	005
USREDNENI	Y00	00A-0448	010
PERIODCHESK	64G	00A-0449	101
SIGNAL	100	00A-0450	007
	900	00A-0451	001
RASSMOTRENI	600	00A-0452	012
KONKRETN	610	00A-0453	010
SXEM	T00	00A-0454	005
PRIBOR	100	00A-0455	007
*.		00A-0456	0000
	300	00A-0457	001
KOTOR	600	00A-0458	007
USREDNENI	600	00A-0459	010
SIGNAL	100	00A-0460	007
OSUSHCHESTVL JA	6K01Y	00A-0461	102
SREDSTV	109	00A-0462	010
IMPULSN	610	00A-0463	010
RADIOTEXNIK	900	00A-0464	012
*.		00A-0465	0000
\$PART 2		00A-0466	0000
METODIK	100	00A-0467	008
	900	00A-0468	001
	660	00A-0469	002
VOZMOZHNOST	900	00A-0470	011
\$TEXT:		00A-0471	0000
USREDNENI	600	00A-0472	010
SIGNAL	100	00A-0473	007
OSUSHCHESTVL JA	6K01Y	00A-0474	102

Fig. 10. Stems derived from the words of fig. 9 (Tape C)

diagrammed in fig. 8. The various routines, denoted by boxes, are applied consecutively in the order indicated by the arrows. Each routine requires a separate tape pass; the circles represent magnetic tapes containing input or output data. Since each routine contains terminal instructions sufficient to initiate the operation of the next, the whole program runs automatically. The present correspondence between routines and memory loads is not essential. Given a computer with a larger internal memory, several of the routines could be combined in a single memory load and the number of tape passes correspondingly reduced. Because of the freedom allowed in unotyping, the format of the input tape is not well suited for automatic processing.

The machine cannot readily locate Russian words on this tape, since the boundaries of Russian words and machine words do not necessarily coincide. The first routine therefore standardizes the format of the text once and for all. This "Standardize Routine" places each Russian word, and each punctuation mark or English comment, in a separate item five machine-words long. At the same time, each item is assigned a unique serial number defining its position in the text. A print of a section of the output tape, labelled B, is shown in fig. 9. The Russian is transliterated in this and subsequent figures to make the prints legible.

The Standardize Routine rewinds tape B immediately after it is produced, and initiates the operation of the next

routine, called "Split". The Split Routine applies inverse inflection algorithms [8] to the Russian items contained in tape B and produces a tape C, containing Russian forms divided into stems and affixes. The Split Routine is a modified version of the split routine used to compile dictionary entries [1]. The same inverse inflection algorithms are used, but the split operation is inhibited for comment and punctuation items, identified by dollar signs or asterisks in their initial character positions. A section of tape C, showing split stems for the sample of fig. 9, is shown in fig. 10. Since the same algorithms are used to prepare both dictionary stems and text stems, a stem derived from a text word can be used to locate the stem entry for that word in the dictionary.

The next routine called into play is "Alphabetize", a sorting routine that arranges the items on tape C in Russian alphabetic order. Keys for this sort are the same as those used in compiling the dictionary; the Russian stem is the primary key, the affix the secondary key. The output is a tape D containing alphabetized five word items.

The next routine actually searches the dictionary. The routine simultaneously advances tape D and the dictionary tapes, comparing Russian items. Since both tapes are in the same order, they need move only in a forward direction. Each time a stem on tape D is successfully matched with a dictionary stem, an output item is prepared. The output item is the complete dictionary entry, modified to include also the text serial number and the affix occurring in the text. A transliterated section of an output tape E is shown in fig. 11.

The "Search Routine" prepares an output item for every item in tape D. If an input item does not correspond to an entry in the dictionary, a dummy entry is manufactured. For example, dummy entries for the Russian stems "март-" and "мансимальн-", which are in the text but not in the dictionary, appear in fig. 11. Special markers are used in the dummy entries, "((((((((((((" for machine identification and "X-LIT" for later visual identification. Words so treated eventually appear in the index of words missing from the dictionary. Punctuation marks and English comments are likewise incorporated in dummy output items.

The Search Routine has provisions for reading through any number of consecutive reels of dictionary tape at the approximate rate of one reel in four minutes. This is a little more time than is required for the mechanical motion of the tape alone. The read time does not depend on the length of the text nor on the number of words found in the dictionary. Since the dictionary reels are mounted on two tape mechanisms that are read alternately, there is no loss of computer time due to reel changing.

Tape E is the input to the "Restore Text Order Routine". This routine sorts the text, now consisting of complete dictionary entries, back into the original text order. The sort key is the serial number assigned by the Standardize Routine. Since the sorting instructions treat regular entries and dummy entries alike, punctuation marks and comments are restored to their original positions. The Sort Routine performs an auxiliary function during the first tape pass. The affixes split from Russian words prior to the alphabetization of the text are reinserted next to the stems, with hyphens interposed to show where the words were split. The output of the sort assembly, tape F, contains the augmented text (text-ordered subdictionary). The augmented text tape is retained for later use in the automatic production of improved translations.

The next routine in the dictionary operating program transliterates the hyphenated Russian words in tape F into Roman characters, to make the words readable on the output prints. The final routine in the main portion of the operating program edits the information in the augmented text into the output formats of figs. 5 and 6. The two

edited versions of the word-by-word translation, with and without the transliterated Russian, are generated simultaneously on separate tapes.

When the augmented text and the word-by-word translations have been produced, the computer operator may either terminate the dictionary run or allow it to continue and to produce index-dictionaries like that shown in fig. 7. The routines in the index-producing part of the program have been described elsewhere [4, 5].

#### 4. Post-editing the dictionary outputs

The most immediate application of the automatic dictionary is the production of the output prints of fig. 5, 6, and 7 for use as aids in translating Russian scientific and technical texts. A word-by-word translation can be converted into a smooth and idiomatic translation by a post-editor, who works directly on the machine-produced prints. A sample page of post-edited material is shown in fig. 12. The post-editor has indicated with arrows his choice of English correspondents and of word-sequence. Besides drawing arrows, a post-editor occasionally inserts words, modifies them, or supplies correspondents either missing from the dictionary or else better than those printed out. A typist can readily transcribe a post-edited text into conventional format; she simply copies the words at the heads of the arrows in the sequence indicated. A section of typist's copy including the material shown in fig. 12 is shown in fig. 13.

Experiments are being conducted to find out the value of the dictionary outputs to translators, scientists, and students of technical Russian. At the time of writing, experimental word-by-word translations have been made for more than two-dozen short texts, each one-thousand to three-thousand words in length. The texts were selected for machine processing by volunteer post-editors, mostly graduate students in the sciences, and treat technical subjects of interest to the participating individuals. Each volunteer was given an interlineated word-by-word translation, like that shown in fig. 6, a set of post-editing instructions, and a set of index-dictionaries. The volunteers were asked to post-edit the texts, and to furnish suggestions for improving the dictionary outputs.

The experimental post-edited translations have not yet been systematically evaluated. Preliminary observation indicates that the outputs are much more useful to some post-editors than to others, depending largely on their backgrounds and abilities. There is some evidence to support the following preliminary conclusions:

- a) The outputs of the automatic dictionary can be very useful to an individual having a scientific or technical background, a knowledge of the rudiments of Russian, and, above all, a desire to read Russian technical material in his own field. Indications are that such a person can understand and translate texts much more rapidly when dictionary searching is done for him automatically.
- b) Capable and technically qualified individuals who have never studied Russian can produce passable translations of texts selected from their own fields if they are sufficiently interested in doing so. The post-editing process is typically more time-consuming for these individuals than for those who know a little Russian, and a few sentences are usually left only partially translated. All volunteers were, however, able to complete their translation in a matter of hours, whereas days might have been required otherwise. Significantly, the complaint registered most often was that five or ten percent of the words in the text were not yet in the automatic dictionary, and had to be located in a standard desk dictionary.
- c) The special technical vocabulary of the automatic dictionary makes it marginally useful to individuals who



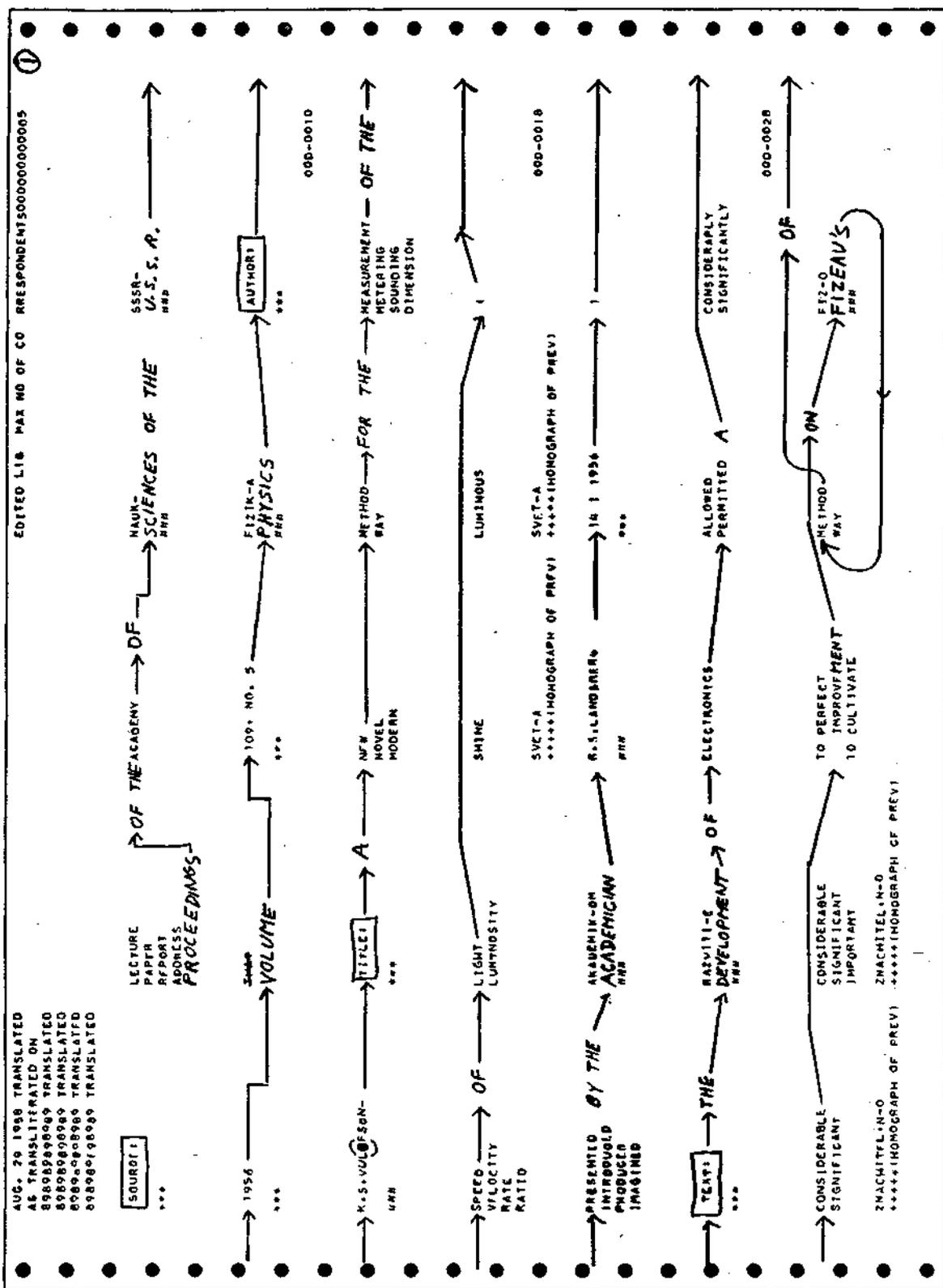


Fig. 12. Post-edited word-by-word translation

Proceedings of the Academy of Sciences of the U.S.S.R.

1956, Volume 109, No. 5 Physics

K. S. Vulfson

A New Method for the Measurement of the Speed of Light

(Presented by the Academician G. S. Landsberg 14 I 1956)

^  
TAN.

*l.c.* The development of electronics permitted a considerable improvement on Fizeau's method of ~~the~~ measurement of the speed of light. Applying an ingenious null method, Bergstrand (Footnote 1) brought the accuracy of measurement up to 0.25 ~~M/sec.~~ that is, up to  $1 \times 10$  to the minus 6. Electromagnetic methods of measurement of the speed of light also were brought to a high degree of accuracy (Footnotes 2 to 5). Regardless of this, the spread in the mean value of the speed of light in the various works leads to a value of probable error, which does not yield the possibility of reliably establishing the value of the speed of light. The comparison of the results of measurements, carried out over large intervals of time, leads even to the supposition that the speed of light changes with time (Footnote 6). It is desirable, therefore, to introduce further increases in the precision of measurement of the speed of light by means of improving the existing methods and finding new more perfect (methods).

In the present work, a new version of the method of Fizeau is proposed, through the help of which one can look forward to raising the accuracy of the measurement of the speed of light.

The method of Fizeau, the nature of which is well-known, is based upon: 1) the measurement of the distance from the modulator to the mirror; 2) the measurement of the modulation frequency of the luminous beam and 3) the establishment of minimum intensity for the luminous beam, passing the modulating device for the second time (after reflection from the mirror).

know literary Russian and English well, but who have little or no technical background in the subject of the material translated. A person with a technical background must still scan the post-edited translations to pick out errors due to technical ignorance. However, the technical editor need not know Russian since he can consult the machine-produced prints whenever doubts arise about the edited translation.

- d) There is a small number of expert technical translators who have no need for the automatic dictionary outputs. These individuals are senior research scientists having excellent command of scientific Russian and English, and extensive experience in technical writing. Usually, a translator of this caliber can rapidly write or dictate a final translation of a technical paper in his field while reading the Russian original. He is more likely to be hampered than assisted by the automatic dictionary outputs in their present form. Unfortunately, the number of individuals in this category is very small and few of those properly qualified can take time from their scientific work to do a significant amount of translating.
- e) Individuals without technical qualifications, or who have difficulty in expressing themselves in English, cannot produce good translations, with or without the aid of the automatic dictionary. A successful post-editor must have a good command of English and some background in the technical field of the material translated. Preferably, he will also have some knowledge of basic Russian.
- f) The present format of the word-by-word translations is an important source of difficulties. Specifically, more text must be put on each page to avoid the need for time consuming and irritating turning of pages.

The difficulties in evaluating translation were found to be formidable, corroborating the views of Miller and Beebe-Center [9] on this subject.

The dictionary outputs will be used as experimental teaching aids in a Russian course at Harvard in the spring of 1959. In response to a questionnaire circulated during fall registration, forty of the students taking the course expressed an interest in using the automatic dictionary. The interested individuals are all science majors or graduate students in the sciences, and many of them are taking the course to learn how to read scientific Russian. Each student will be asked to select a text for processing and, finally, to post-edit the machine-produced translation.

New technical terms and meanings, suggested by the scientifically trained individuals who read the dictionary outputs, are being fed back into the dictionary. For example, a post-edited list of words in a text but not in the dictionary is shown in fig. 14. Each English correspondent was simply written into the first blank space provided in the index; no attempt was made to modify its case or tense. Information fed back from post-editors is also being used to modify and improve the existing entries in the dictionary. Sections taken from a post-edited index dictionary for a sample text are shown in fig. 15. The post-editor has suggested several revisions in the existing entries, mostly to make them more suitable for technical texts. The initial sets of meanings in the automatic dictionary were drawn from existing technical dictionaries [10, 11]. The suggested revisions speak for themselves. At present, new Russian words are entered into the automatic dictionary through the semi-automatic compilation procedure described in [1]. Existing entries are corrected and updated by standard tape-editing routines drawn from the Univac library.

Since the transformations made by post-editors are precisely the transformations that must eventually be automatized, the experiments in post-editing are important also from a long-range viewpoint. An automatic system that makes use of the valuable information available in the post-edited outputs is discussed in Section 5.

## 5. Advanced research in automatic translation

The basic experimental system to be used for advanced research on automatic translation is block-diagrammed in fig. 16. The automatic dictionary program is a fundamental part of this system; it is extended by two additional programs, the "Affix Interpreter" and the "English Inflector". Both of these programs operate on individual entries to make explicit all information about a text that can be obtained by considering individual words in isolation from their neighbors. The resolution of the ambiguities remaining in the augmented texts produced by these programs requires the examination of contexts. The program labelled "Trial Translator" is a research instrument that will enable linguists to test syntactic and semantic translation algorithms on large bodies of Russian text. The program called "Formula Finder" will make use of a primitive machine learning process for the automatic derivation of certain classes of translation algorithms.

The coded information in the dictionary entries of augmented texts is of great importance for all phases of research involving syntax. The information in each stem entry characterizes both the morphology of the Russian word containing the stem and certain properties of the stem not explicitly reflected in its morphology. As an example, the information marked "γ" in the sample entry in fig. 1 will be considered in detail. The morphological class marker, N 10.00, indicates the fact that the word *притяжение* belongs to a category of nouns usually accepting the inflectional affixes "е, я, ю, ем, и, й, ям, ями" and "ях". The code characters NDIIN100 convey the following information: the word functions as a noun (N), it is declinable (D), it belongs to a certain subclass of inanimate nouns (I1), it is neuter (N), it generally occurs in the singular only (1), and it has no special forms (00). The markers A0 and A1 indicate that the word can be found in two standard Russian-English dictionaries [10, 11].

### 5.1 Affix interpretation [12,13]

The present dictionary is a stem dictionary, and the information in an entry characterizes the stem or its paradigm rather than the distinct inflected forms that can occur in texts. To characterize a text form, it is necessary to interpret the affix associated with the stem. For example, suppose that the Russian form *притяжением* occurs in a text. The stem entry of fig. 1 will therefore appear in the augmented text. The coding in the entry indicates that the word is a noun, neuter, declinable, etc., but does not explicitly convey the information that the ending *ем* denotes the instrumental singular. This information is essential for research purposes, and a machine program is therefore being provided for the automatic interpretation of affixes in augmented texts.

The input to the affix-interpreting program will be an augmented text containing stem entries and affixes split from text words. The output of the program will be an interpreted copy of the augmented text, containing statements of the case, number, tense, etc., determined by each stem and affix. Interpretations will be based on the coded data in the entries. In the sample entry of fig. 1, the class marker "N10.00" and the subclass marker "I1" are sufficient to indicate to the program that the ending *е* denotes either the nominative or the accusative singular, the ending *ю* denotes the dative singular, the ending *ем* denotes the instrumental singular, etc. The portion of the program for handling nouns is already operating; the routines for handling verbs and adjectives are currently being programmed. The diagram of fig. 16 shows that affixes in augmented texts will normally be interpreted before the texts are used as inputs to the more advanced translating programs. Words that are normally distinct in all their inflected forms sometimes lead to the same dictionary stem. For

6.S.LANDSDER G	111111	00000000001	X-LIT.	X-LIT.	X-LIT.	X-LIT.
1		6				
2	(proper name)	7				
3		8				
4		9				
5		10				
000-0021						
CM						
1700-5	111111	00000000001	X-LIT.	X-LIT.	X-LIT.	X-LIT.
1		6				
2	(PULSE)	7				
3		8				
4	(misspelling)	9				
5		10				
000-0008						
MY						
INTERFEROMETER	111111	00000000001	X-LIT.	X-LIT.	X-LIT.	X-LIT.
1		6				
2		7				
3		8				
4		9				
5		10				
000-0060						
1						
K.S.WILKINSON	111111	00000000001	X-LIT.	X-LIT.	X-LIT.	X-LIT.
1		6				
2		7				
3	(proper name)	8				
4		9				
5		10				
000-0011						
KM/SEC	111111	00000000001	X-LIT.	X-LIT.	X-LIT.	X-LIT.
1		6				
2		7				
3		8				
4		9				
5		10				
000-0008						
CONSTRUCTION	111111	00000000001	X-LIT.	X-LIT.	X-LIT.	X-LIT.
1		6				
2		7				
3		8				
4		9				
5		10				
000-0008						
CA						
SHORT-DURATION	111111	00000000001	X-LIT.	X-LIT.	X-LIT.	X-LIT.
1		6				
2		7				
3		8				
4		9				
5		10				
000-0016						
YU						
LISSAJOUS	111111	000000000022	X-LIT.	X-LIT.	X-LIT.	X-LIT.
1		6				
2		7				
3		8				
4	(proper name)	9				
5		10				
000-0000						
000-0008						
U						
LOOK FORWARD (TO)	111111	00000000001	X-LIT.	X-LIT.	X-LIT.	X-LIT.
1		6				
2		7				
3		8				
4		9				
5		10				
000-0108						
WAI 2.2						

Fig. 14. Post-edited index of new words in a text but not in the dictionary

MOG	010971	V09.00	00000000003	VNDOP90000	ADA2	ADA2	81K08304
1	AM	ABLE	I	O.S	SWITCH		
2	CAN						
3							
4							
5							
000-0396	UT	②	000-0455	UT	③	000-0418	UT
1	②						
2							
3							
4							
5							

POSYLK	015346	M08.31	00000000002	ND11F000	A1ADA2	A1ADA2	
1	PARCEL						
2	DISPATCH						
3	PREMISE						
4	TRANSMISSION						
5							
000-0357	U	③	000-0398	U	④		
1	③						
2							
3							
4							
5							

SUGGESTED ORDER

USTANOVK	020652	M08.31	00000000002	ADA1			
1	PLANT						
2	INSTALLATION						
3	SYSTEM						
4	OUTFIT						
5	MOUNTING						
000-0382	U	③	000-0650	U	④		
1	③						
2							
3							
4							
5							

SETUP

PRIENN	015856	A02.00	00000000001	ADD0100	ADA1	ADA1	
1	RECEIVING						
2	FEELER						
3	TAKE-UP						
4	PICKUP						
5							
000-0335	OE	①					
1	①						
2							
3							
4							
5							

Fig. 15. Section of a post-edited index-dictionary

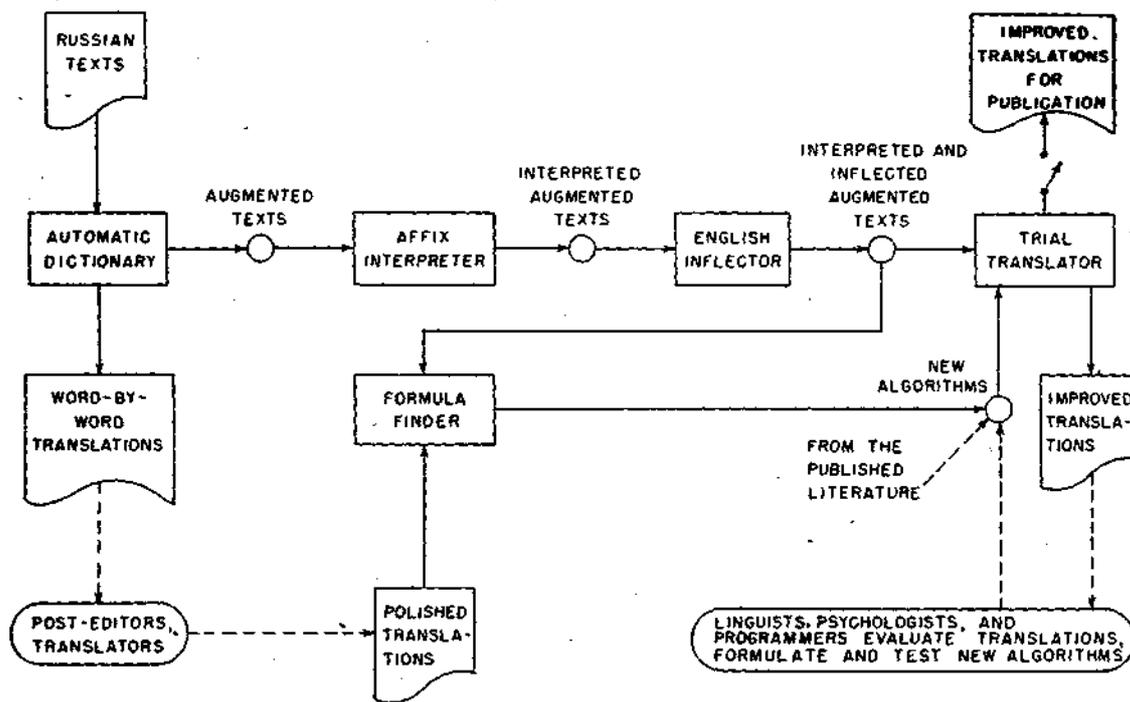


Fig. 16. Basic experimental system for advanced research in automatic translation

example, the Russian nouns *гран* and *грань* meaning respectively "grain" and "border," both lead to the dictionary stem *гран*. At present, when either word is encountered in a text, two stem entries are extracted from the dictionary, one for "grain," the other for "border." The two entries appear consecutively in the augmented text, and in the word-by-word translation. Duplicate entries of this type can be seen in the word-by-word translations of figs. 5 and 6, marked with the indicator "++++ (HOMOGRAPH OF PREV)."

Once the affix-interpreting program is operating, ambiguities due to stem homographs will no longer appear in the output of the dictionary. The program will reject spurious entries containing unwanted stem homographs as a by-product of its normal operation. For example, the program will recognize the affix *ом* in *граном* as compatible only with the entry for "grain," and the entry for "border" will not appear in the output augmented text.

In a certain number of instances, of course, a definitive interpretation of affixes cannot be made on a strictly word-by-word basis. For example, the affix *я* in a noun of class N10.00 can denote either the genitive singular or the nominative or accusative plural, and a definitive interpretation of the affix requires an analysis of contexts. By doing the interpretation first on a word-by-word basis, however, the ambiguity is narrowed to that inherent in the actual inflected form.

### 5.2. English inflection

The English correspondents in the dictionary and augmented texts are initially expressed in certain standard inflected forms corresponding to the Russian stems. As a result, distinctions of case, number, and tense present in Russian endings are not always reflected correctly in the English correspondents of an augmented text. For example, the stem entry to which the third person singular of "делать" is referred contains the standard correspondent "to

do" instead of "does." It is only natural, then, to make provisions for the automatic inflection of English correspondents in augmented texts.

Algorithms have already been developed for the automatic inflection of Russian, and have been used in the compilation of the Harvard Automatic Dictionary [1]. Since English, unlike Russian, is not a highly inflected language, the development of analogous inflectional algorithms for English should pose no fundamental problems. Research is underway in this area. An English-Russian dictionary has been automatically compiled from the Russian-English dictionary and is being used as a research tool for the investigation of the English inflectional system.

Algorithms for the automatic inflection of English will eventually be incorporated into an English-inflecting machine program, to operate on interpreted augmented texts (fig. 16). Each input entry will contain an indicator, inserted by the affix-interpreting program, specifying the case, number, tense, etc., of the inflected Russian form. The English inflector program will sense these indicators; all English correspondents in an entry will be inflected into the indicated case, number, tense, etc. Remaining ambiguities will be resolved at a later stage.

So long as it is necessary or desirable to use stem dictionaries, the affix interpreter and English inflector programs must be applied to every text that is to be translated. Should it prove feasible and worthwhile to use dictionaries in which every distinct inflected form is represented by a separate entry, these programs may be applied once and for all during dictionary compilation. The semiautomatic methods for compiling stem dictionaries described in [1] may therefore be extended to encompass the compilation of full-paradigm dictionaries. Given the classical canonical form of a Russian word (e.g. nominative singular for nouns, infinitive for verbs) to be included in a full-paradigm dictionary, English correspondents and grammatical coding may be assigned manually, and a complete set of inflected entries may then be generated automatically.

### 5.3 Trial translating

While several syntactic and semantic rules for producing smooth Russian-English automatic translations have been proposed in the literature [14, 15, 16], published experimental results have been conspicuously absent<sup>3</sup>): Until very recently, the reported use of automatic machines has been confined to the applications of ad-hoc computer programs, tailored to the processing of particular sentences or of carefully selected texts. Since few if any of the algorithms proposed for Russian-English translation have been tested on large bodies of Russian text, it is exceedingly difficult, if not impossible, to evaluate them objectively. Trial translating is the process of applying experimental translation algorithms to representative Russian texts, of examining the results, and of evaluating the algorithms. For such a process to be practical and meaningful, the algorithms must be applied by a machine. One of the writers has proposed an automatic programming system designed to put the computer readily at the disposal of linguists, Slavic scholars, and other individuals not usually trained in computer programming. This system, called the "Trial Translator", has been described elsewhere [17]; only its essential features will be mentioned here.

The inputs to the trial translator are a set of experimental syntactic and semantic algorithms expressed in a notation similar to that of the propositional calculus. Each of the algorithms is expressed in the form: "if the logical condition P is satisfied, then apply the transformation Q". P is an expression compounded of the logical variables that determine when the transformation Q is to be applied to a text. If k is a serial number defining the position of an entry in the augmented text, typical variables might be "N(k)" standing for "text word k is a noun", "GP(k)", standing for "text word k is in the genitive plural", "PREP(k-1)" standing for "the text word preceding word k is a preposition", etc. A logical expression P is constructed by connecting variables with the connective functors of the propositional calculus: "•" standing for "and", "v" standing for "or" and "~" standing for "not". Typical transformations might be "PERM (k, k + 1)" standing for "permute the translations of text words k and k+1", "INS (of the, k)" standing for "insert *of the* before the translation of k", etc. We might then consider the sample algorithm: "If a noun in the genitive plural is not preceded by a preposition, then insert *of the* before its translation". This can be simply abbreviated as "~PREP(k-1)•N(k)•GP(k) →INS(of the, k)". The algorithm is obviously too simple to be valid, and is included here only to illustrate the use of the notation.

The operation of the trial translator is based on the automatic association of algorithms with dictionary entries, the automatic specification of the truth values of logical variables, and the automatic evaluation of logical formulas. The system applies the given algorithms to English-inflected augmented texts (fig. 16). Its outputs are the readable translations resulting from the application of the given rules to the given texts. Linguists, psychologists, and computer specialists examine these translations and suggest modified algorithms that are, in turn, coded in the language of the propositional calculus and applied by the trial translator. The same man-machine cycle will be repeated until a satisfactory set of algorithms is determined, or until it is obvious that some major change must be made in the machine system.

### 5.4 Formula finding

The first formulas to be tested while experimenting with the trial translator will be either drawn from the existing literature or suggested in the course of experiments with

the products of the automatic dictionary. It may also be possible to find algorithms automatically, by means of a primitive machine "learning" system. The writers are currently investigating such a system, called "Formula Finder".

The inputs to the formula finder are an augmented Russian text, and the final post-edited translation of the same text. The English translation must first be transcribed onto magnetic tape by manual or automatic means. When given proper clues by a linguist, the system will deduce algorithms that can be used to transform the augmented text into the edited version.

The clues that must be given to the formula finder are: (a) a list of logical variables that might conceivably determine a certain transformation, and (b) a statement of the transformation being investigated. The variables and transformations are assumed to be stated in the mnemonic notation used as input to the trial translator. The formula finder compares the augmented text and the post-edited text. Whenever a product of the indicated transformation is found in the post-edited text and certain auxiliary conditions are satisfied, the formula finder examines the truth-value configuration of the given variables in the augmented text. After examining all instances of the transformation, the formula finder can ascertain whether the indicated variables can be combined into a logical formula that implies the given transformation. The output of the formula finder is either:

- a) A logical formula that always implies the given transformation, thus defining a translation algorithm valid for the given corpus of text. The formula finder will reduce this formula to its simplest logical form and eliminate vacuous variables not actually needed in the algorithm.
- b) A statement of the "closest" logical formula in case other variables besides those originally given are required to determine the transformation. The statement will be accompanied by indications of the quality of the approximate formula, and by clues that will help linguists to suggest additional variables for testing.
- c) A machine-coded statement of the exact or approximate algorithm, ready to be tested by the trial translator.

Since natural languages are open systems, an algorithm-finding process can never be quite finished. Nevertheless, there is hope that the processes of trial translating and formula finding will eventually lead to an acceptably stable set of algorithms and that, in the interim, the quality of the trial translations will steadily improve. Systems like that of fig. 16 should lend themselves to the economic mass-production of interim translations made by the best set of tested translation algorithms available at the time. It is hoped that these interim translations will serve as valuable aids to professional translators, to students of technical Russian, and to scientists interested in the Russian technical literature.

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## 7. Discussion

*D. G. Hays (USA)*: There are two methods for the building of MT dictionaries. Mr. Oettinger compiles entries from existing dictionaries, then tests their validity by inspecting texts. An alternative is to build the dictionary directly from textual studies.

It is interesting to compare these methods from the standpoint of economy and usefulness.

*D. G. Owen (UK)*: In view of the time and effort required to remove all misprints from the data supplied for translation, is it not worthwhile to program the computer to search for closely similar words when it is unable to locate the word as spelt? It might be assumed that errors of four kinds could occur: a wrong character, a missing character, an extra character, or two consecutive characters transposed.